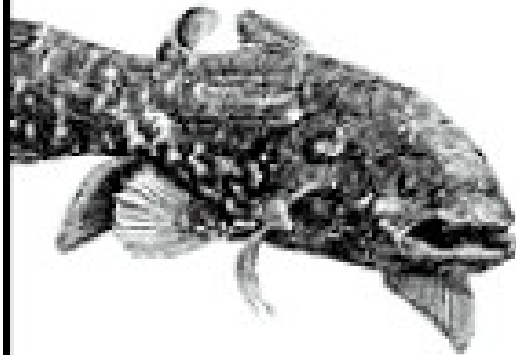


*An Essential Guide to the Basic Draft Agreement for a Joint*

# BLAST



O'REILLY™

*See how! Visit [oreil.ly/blast](http://oreil.ly/blast) to learn more!*

# The 5 Standard BLAST Programs

<b>Program</b>	<b>Database</b>	<b>Query</b>	<b>Typical Uses</b>
BLASTN	Nucleotide	Nucleotide	Mapping oligonucleotides, amplimers, ESTs, and repeats to a genome. Identifying related transcripts.
BLASTP	Protein	Protein	Identifying common regions between proteins. Collecting related proteins for phylogenetic analysis.
BLASTX	Protein	Nucleotide	Finding protein-coding genes in genomic DNA.
TBLASTN	Nucleotide	Protein	Identifying transcripts similar to a known protein (finding proteins not yet in GenBank). Mapping a protein to genomic DNA.
TBLASTX	Nucleotide	Nucleotide	Cross-species gene prediction. Searching for genes missed by traditional methods.

# WU-BLAST vs. NCBI-BLAST

- faster (except for BLASTN)
- word size unlimited
- nucleotide matrices
- gapped lambda for BLASTN
- links, topcomboN, kap
- altscore
- no additional output formats
- no PSI-BLAST, PHI-BLAST, MegaBLAST

BLASTP 2.2.5 [Nov-16-2002]

Reference: Altschul, Stephen F., Thomas L. Madden, Alejandro A. Schaffer, Jinghui Zhang, Zheng Zhang, Webb Miller, and David J. Lipman (1997), "Gapped BLAST and PSI-BLAST: a new generation of protein database search programs", *Nucleic Acids Res.* 25: 3389-3402.

Query= 002567 MYOGLOBIN  
(145 letters)

Database: nr  
1,230,998 sequences; 391,609,117 total letters

Searching.....done

Header

Sequences producing significant alignments:	Score (bits)	E Value
gi 7428631 pir GGGA globin [validated] - slug sea hare	222	6e-58
gi 2133520 pir S64703 myoglobin - slug sea hare (fragment)	222	7e-58
gi 70584 pir GGGA2 globin - Kuroda's sea hare (tentative seque...	217	2e-56
gi 9257039 pdb 1DML A Chain A, 2.0 A Crystal Structure Of The Do...	215	7e-56
gi 230148 pdb 1MBA  Myoglobin (Met) (pH 7.0) >gnl BL_ORD_ID 302...	214	2e-55
gi 121267 sp P29287 GLB_BURLE Globin (Myoglobin) >gnl BL_ORD_ID ...	212	8e-55

One-line summaries

>gi|7428631|pir|GGGA globin [validated] - slug sea hare  
Length = 146

Score = 222 bits (566), Expect = 6e-58  
Identities = 112/146 (76%), Positives = 127/146 (86%), Gaps = 2/146 (1%)

Query: 2 ALSAADQLLAGSMAPVFANSANGDSFLVLETFQFESANFFDFKGGKSLADIQASPKL 61  
+LSAA+A L +SMAPVFAN ANGD+FLVALF +FP+SANFF DFKGGS+ADI+ASPKL  
Sbjct: 1 SLAAEADLAGSMAPVFANNDANGDQFLVALFEKFPDSANFFADFKGGSVADIKASPKL 60

Query: 62 RDVSSRIFARLNEFVSNADAGKWSGLQQFATEHAGFGVGSAGFQWRSNFPQGFVASLS 121  
RDVSSRIF RLNEFV+NAADAGK +HL QFA EH GFGVGSAGF+HRSNFPQGFVAS++  
Sbjct: 61 RDVSSRIFRLNEFVNAADAGKVSANLSQFAKEHAGFGVGSAGFENWRSNFPQGFVASVA 120

Query: 122 AP--AGDAAMNSLFLGLIISALQSAGK 145  
AP DAAM LFLGLII AL+AGK  
Sbjct: 121 APPAGADAAMTKLFLGLIIDLKAAGK 146

Alignments

Database: nr  
Posted date: Jan 18, 2003 11:01 AM  
Number of letters in database: 391,609,117  
Number of sequences in database: 1,230,998

Lambda	K	H
0.319	0.130	0.371

Gapped Lambda	K	H
0.267	0.0410	0.140

Matrix: BLOSUM62  
Gap Penalties: Existence: 11, Extension: 1  
Number of Hits to DB: 95,320,877  
Number of Sequences: 1230998  
Number of extensions: 3177411  
Number of successful extensions: 8194  
Number of sequences better than 10.0: 435  
Number of HSP's better than 10.0 without gapping: 271  
Number of HSP's successfully gapped in prelin test: 164  
Number of HSP's that attempted gapping in prelin test: 7851  
Number of HSP's gapped (non-prelin): 453  
Length of query: 145  
Length of database: 391,609,117  
effective HSP length: 121  
effective length of query: 24  
effective length of database: 242,658,359  
effective search space: 5823800616  
effective search space used: 5823800616

T: 11  
A: 40  
X1: 16 ( 7.4 bits)  
X2: 38 (14.6 bits)  
X3: 64 (24.7 bits)  
S1: 41 (21.8 bits)  
S2: 64 (29.3 bits)

Footer

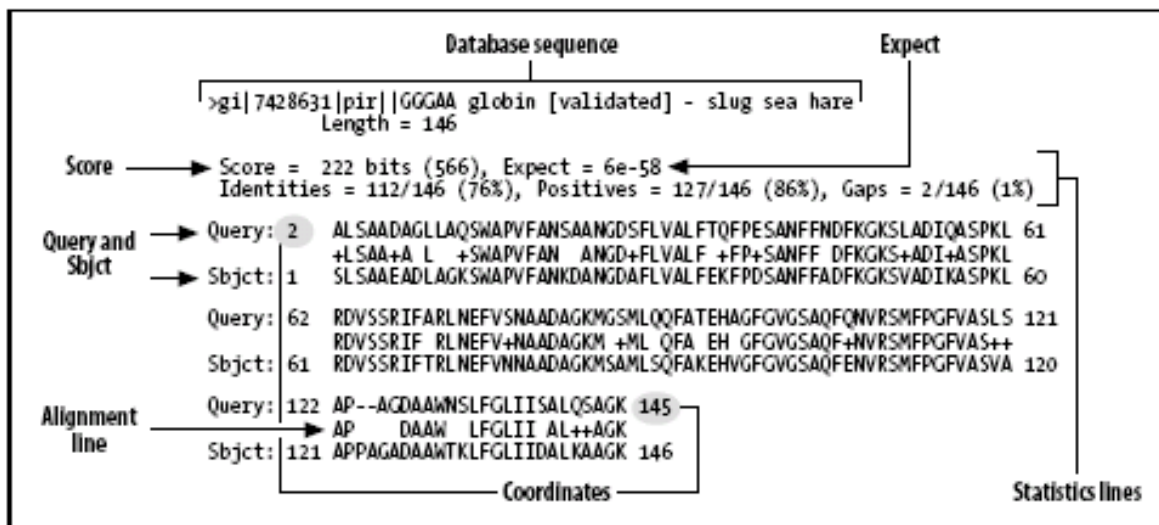


Figure 6-2. A BLASTP alignment

>gi|23098447|ref|NP\_691913.1| (NC\_004193) 3-oxoacyl-(acyl carrier protein) reductase [Oceanobacillus iheyensis]  
Length = 253

Score = 38.9 bits (89), Expect = 3e-05  
Identities = 17/40 (42%), Positives = 26/40 (64%)  
Frame = -1

Query: 4146 VTGAGHGLGRAISLELAKKGCHIAVVDINVS GAEDTVKQI  
4027

VTGA G+G+AI+ A +G + V D+N GA+ V++I

Sbjct: 10 VTGAASGMGKAIATLYASEGAKVIVADLNEEGAQSVVEEI 49

## TWO ASPECTS OF BLAST

### BLAST ALGORITHM

Word Hit Heuristic

Extension Heuristic

### BLAST STATISTICS

Karlin-Altschul statistics:  
a general theory of alignment statistics  
Applicability goes well beyond BLAST

BLAST uses Karlin-Altschul Statistics to determine the statistical significance of the alignments it produces.

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Score = **38.9 bits (89)**, Expect = **3e-05**  
Identities = **17/40 (42%)**, Positives = **26/40 (64%)**  
Frame = -1

**Query: 4146**

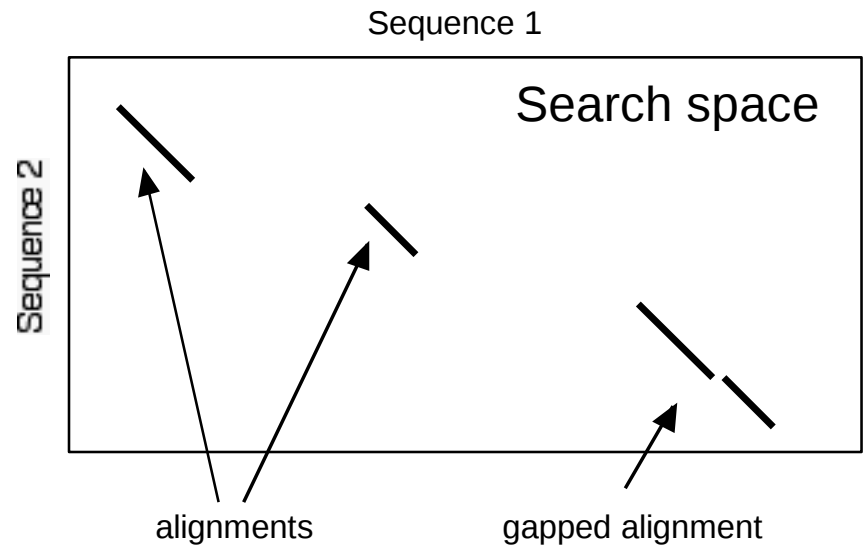
**VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI 4027**  
**VTGA G+G+AI+ A +G + V D+N GA+ V++I**

**Sbjct: 10**

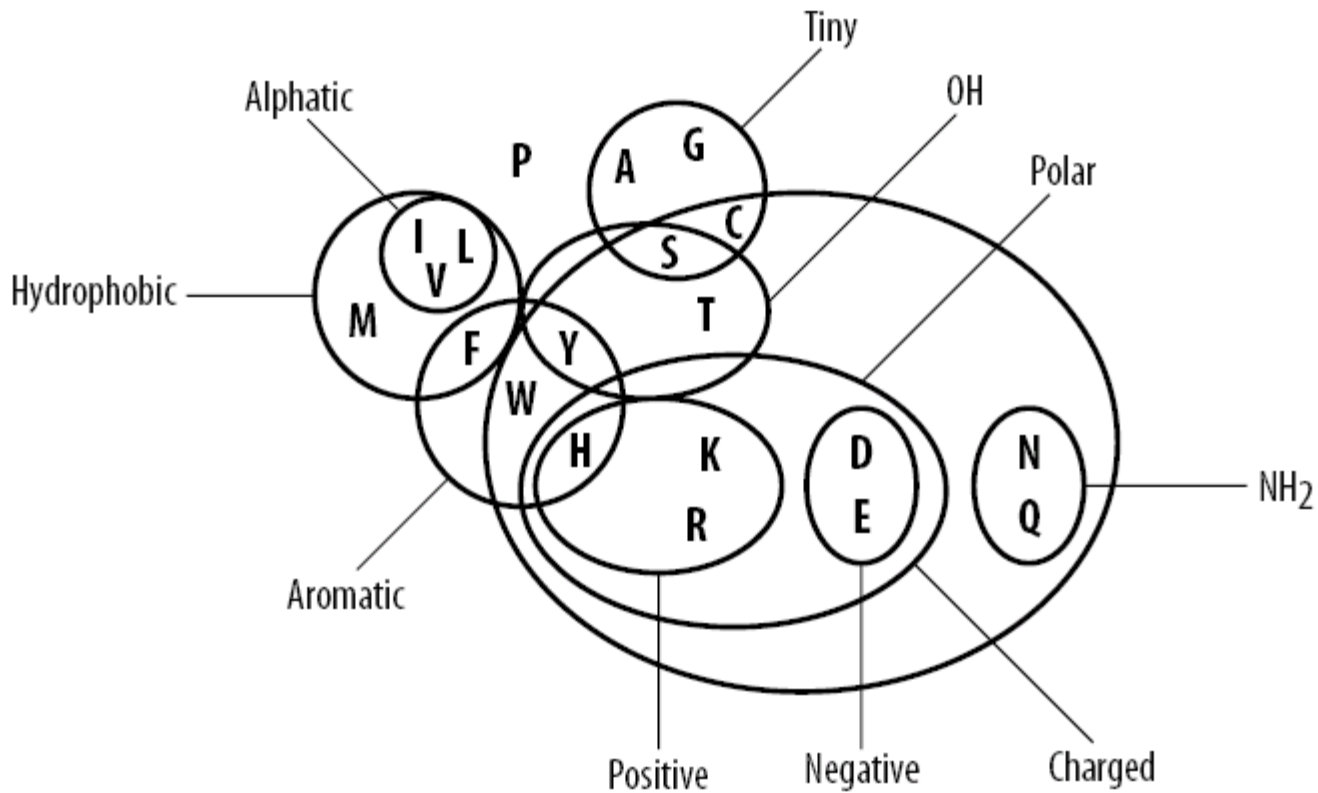
**VTGAASGMGKAIATLYASEGAKVIVADLNEEGAQSVVEEI 49**

# Alignment Overview

Sequence alignment takes place in a 2-dimensional space where diagonal lines represent regions of similarity. Gaps in an alignment appear as broken diagonals. The search space is sometimes considered as 2 sequences and sometimes as query x database.



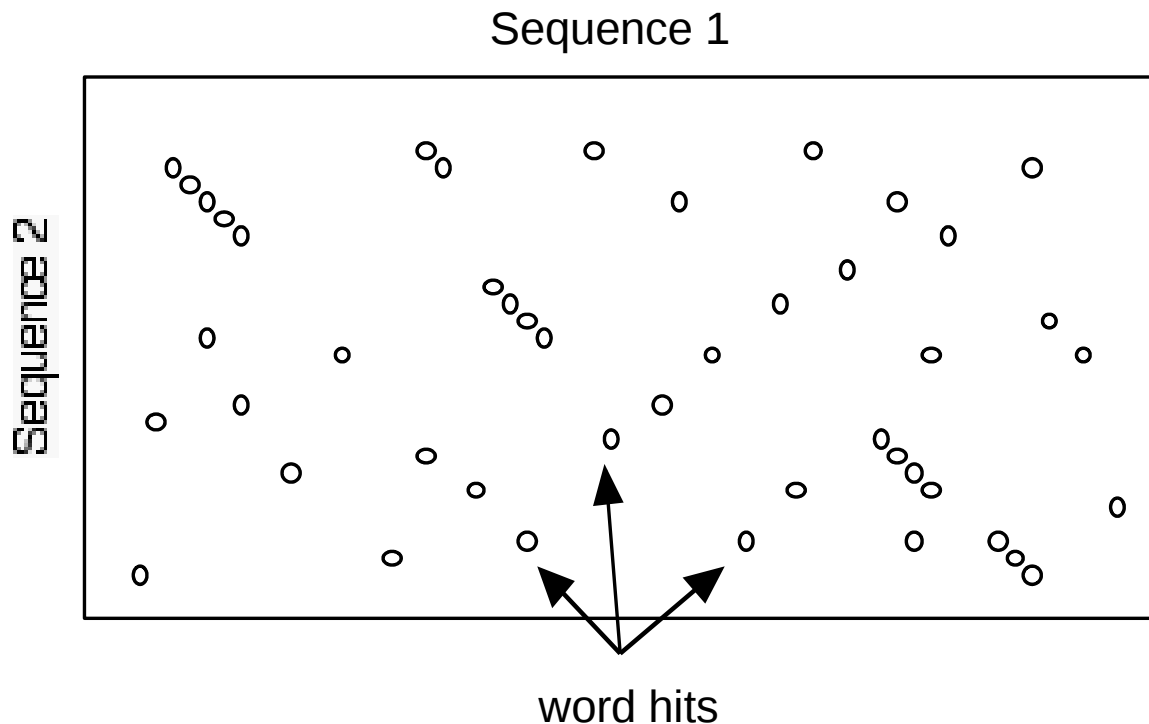
- Global alignment vs. local alignment
  - BLAST is local
- Maximum scoring pair (MSP) vs. High-scoring pair (HSP)
  - BLAST finds HSPs (usually the MSP too)
- Gapped vs. ungapped
  - BLAST can do both



PGNPFATPLEILPEWYLYPVFQILRVLPNKLLGIACQGAIPGLMMVPFIE  
 PANPFATPLEILPEWYFYPVFQILRTVLPNKLLGVLAMAAVPVGLLTVPFIE  
 PANPMSTPAHIVPEWYFLPVYAILRSIPNKLGVAAGLVFVSLLALPFIN  
 PANPLVTPPHIKPEWYFLFAYAILRSIPNKLGVLALLFSILMLLLVPFLH  
 PANPLSTPAHIKPEWYFLFAYAILRSIPNKLGVLALLLSILVLIFIPMLQ  
 PANPLSTPPHIKPEWYFLFAYAILRSIPNKLGVLALLLSILILIFIPMLQ  
 IANPMNTPTHIKPEWYFLFAYSILRAIPNKLGVIGLVMSILIL..YIMIF  
 ESDPMMSPVHIVPEWYFLFAYAILRAIPNKVLGVVSLFASILVL..VVFVL  
 IVDTLKTSKILPEWFFLYLFGFLKAIPDKFMGLFLMVILLFSL..FLFIL

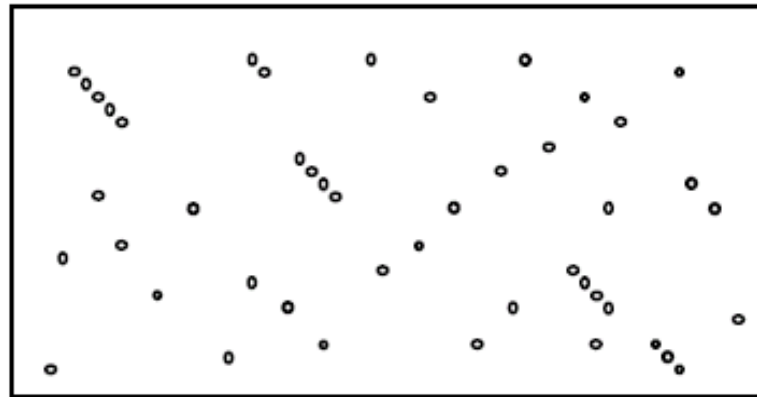
# The BLAST Algorithm: Seeding (W and T)

- Speed gained by minimizing search space
- Alignments require word hits
- Neighborhood words
- W and T modulate speed and sensitivity

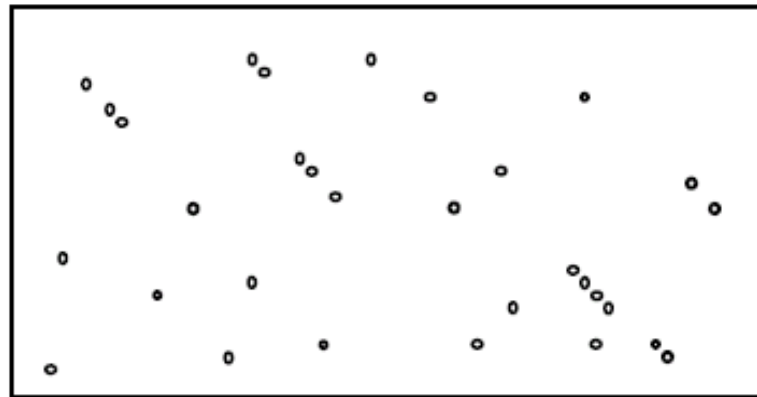


BLOSUM62  
neighborhood  
of RGD

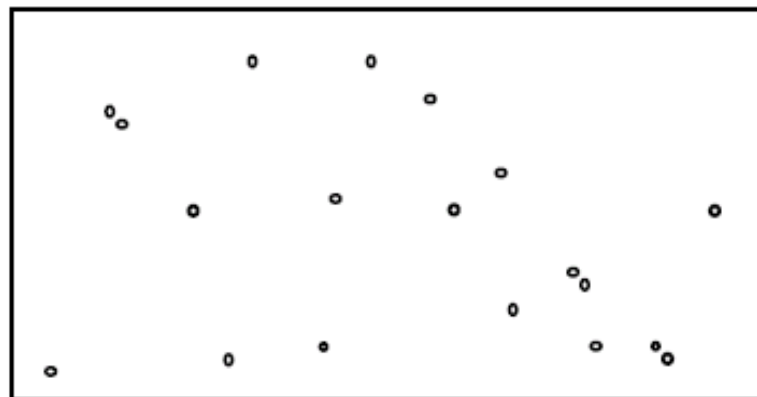
RGD	17
KGD	14
QGD	13
RGE	13
EGD	12
HGD	12
NGD	12
T=12	<hr/>
RGN	12
AGD	11
MGD	11
RAD	11
RGQ	11
RGS	11
RND	11
RSD	11
SGD	11
TGD	11



T = 12



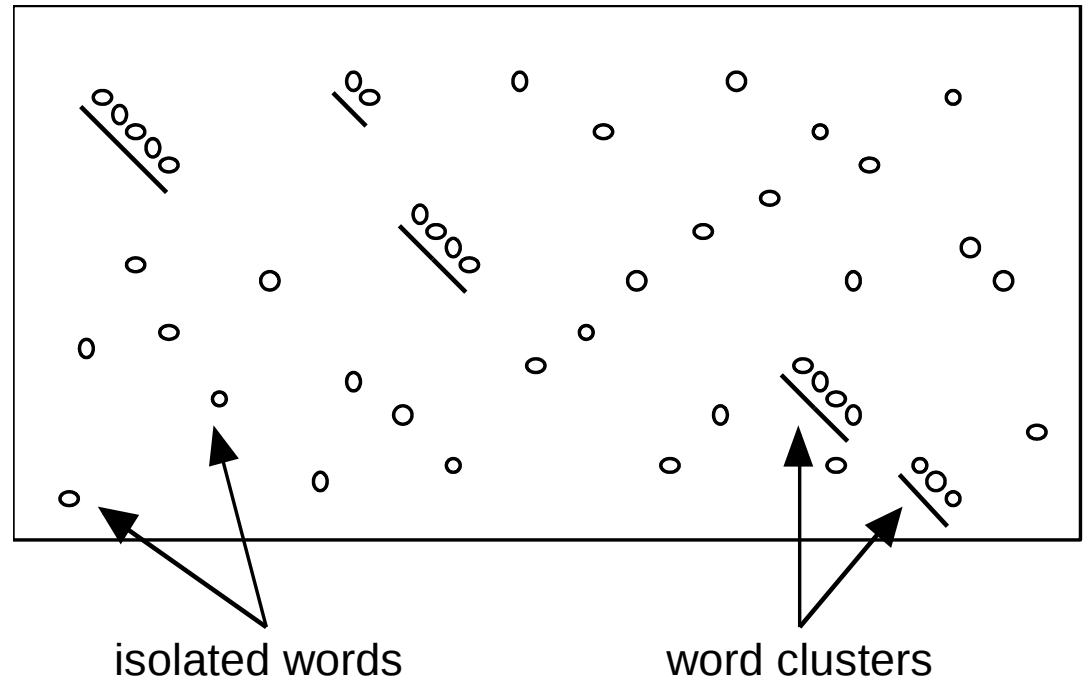
T = 14



T = 16

# The BLAST Algorithm: 2-hit Seeding

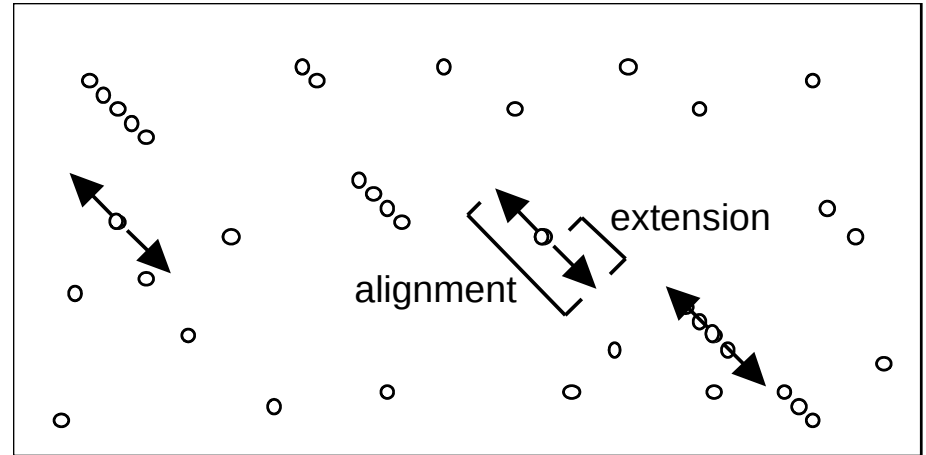
- Alignments tend to have multiple word hits.
- Isolated word hits are frequently false leads.
- Most alignments have large ungapped regions.



- Requiring 2 word hits on the same diagonal (of 40 aa for example), greatly increases speed at a slight cost in sensitivity.

# The BLAST Algorithm: Extension

- Alignments are extended from seeds in each direction.
- Extension is terminated when the maximum score drops below  $X$ .



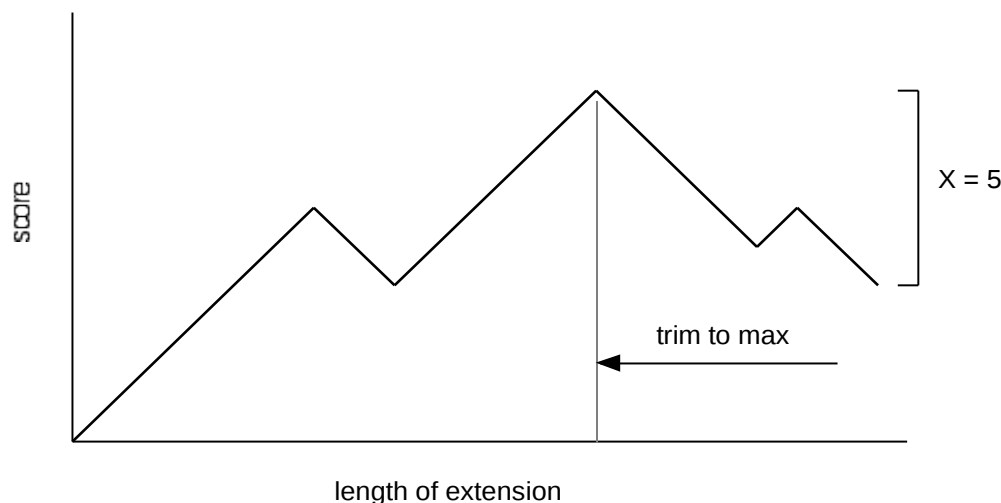
## Text example

match +1

mismatch -1

no gaps

The quick brown fox jumps over the lazy dog.  
The quiet brown cat purrs when she sees him.



>gi|23098447|ref|NP\_691913.1| (NC\_004193) 3-oxoacyl-(acyl carrier protein) reductase [Oceanobacillus iheyensis]  
Length = 253

Score = **38.9 bits (89)**, Expect = **3e-05**  
Identities = **17/40 (42%)**, Positives = **26/40 (64%)**  
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Query: 4146 VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI  
4027

VTGA G+G+AI+ A +G + V D+N GA+ V++I

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## BLAST STATISTICS

Karlin-Altschul statistics:

a general theory of alignment statistics; applicability goes well beyond BLAST

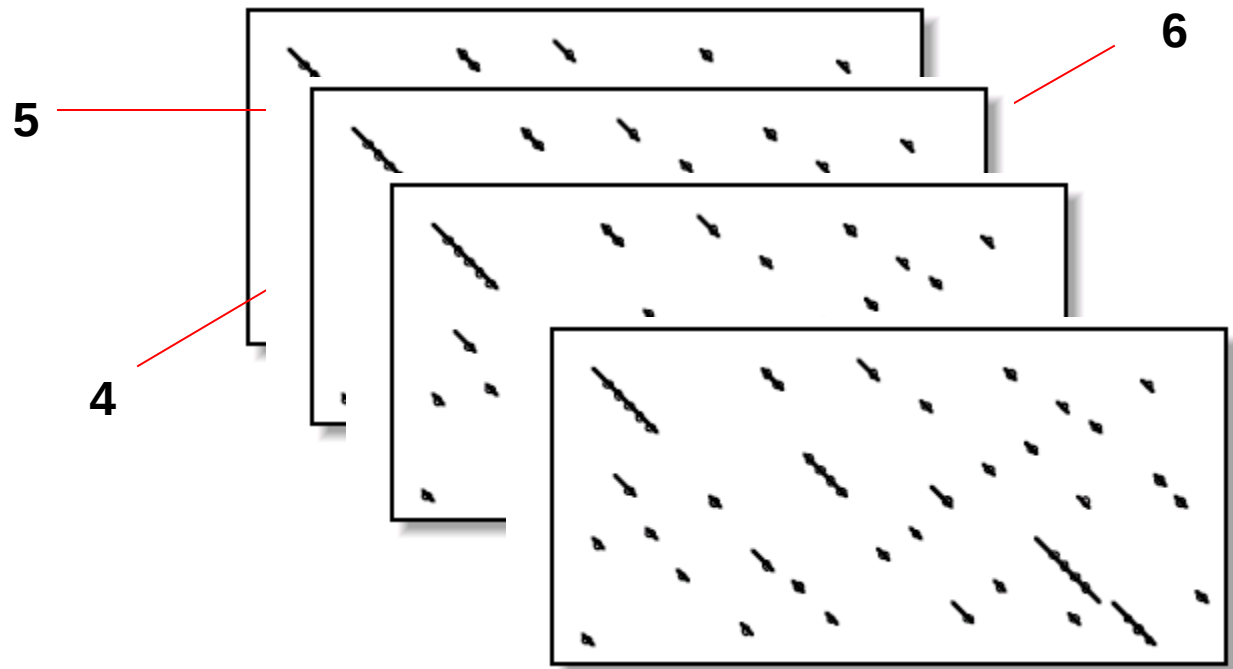
Notational issues

Information theory: nats & bits

How alignments are scored

How scoring schemes are created

$\lambda$ ,  $E$  &  $H$



**How many runs with a score of  $X$  do we expect to find?**

# Understanding Gaussian sum notation

$$total = \sum_{i=1}^n p_i$$

```
my %frequencies;
```

```
$frequencies{'A'} = 0.25;
```

```
$frequencies{'T'} = 0.25;
```

```
$frequencies{'G'} = 0.25;
```

```
$frequencies{'C'} = 0.25;
```

```
my $total = 0;
```

```
foreach my $k (keys %frequencies){
```

```
    $total += $frequencies{$k};
```

```
}
```

## A little information theory

$$-\log_2(0.5) = 1$$

tththttt

$$H = -\sum_i^n p_i \log_2 p_i$$

$$- ( (0.5)(-1) + (0.5)(-1) ) = 1 \text{ bit}$$

$$- ( (0.75)(-0.415) + (0.25)(-2) ) = 0.81 \text{ bits}$$

$$\mathbf{G=A=T=C=0.25}$$

$$- ( (0.25)(-2) + (0.25)(-2) + (0.25)(-2) + (0.25)(-2) ) = 2 \text{ bits}$$

$$\mathbf{A=T=0.45; G=C=0.05}$$

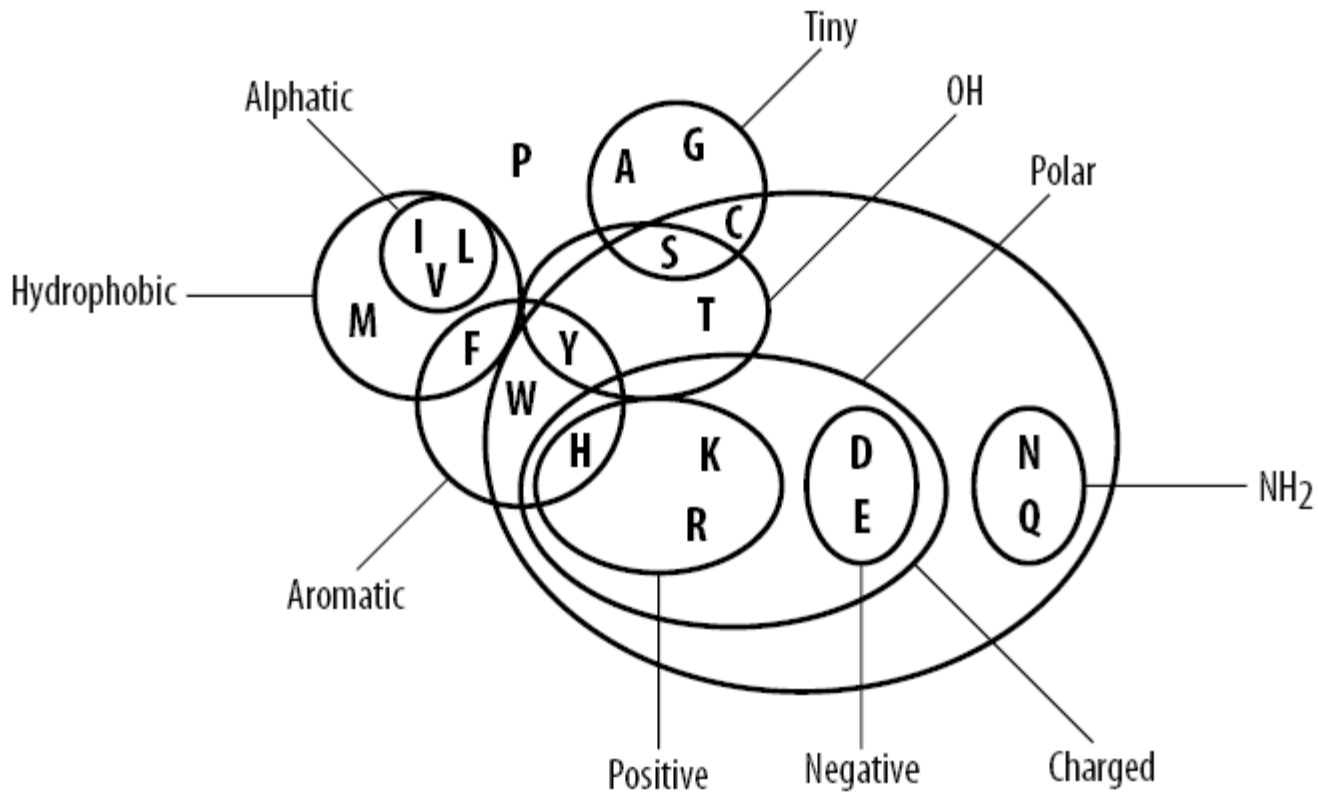
$$- ( 2(0.45)(-1.15) + 2(0.05)(-4.32) ) = 1.47 \text{ bits}$$

## **bits vs. nats**

$$\textit{bits} = \log_2(n)$$

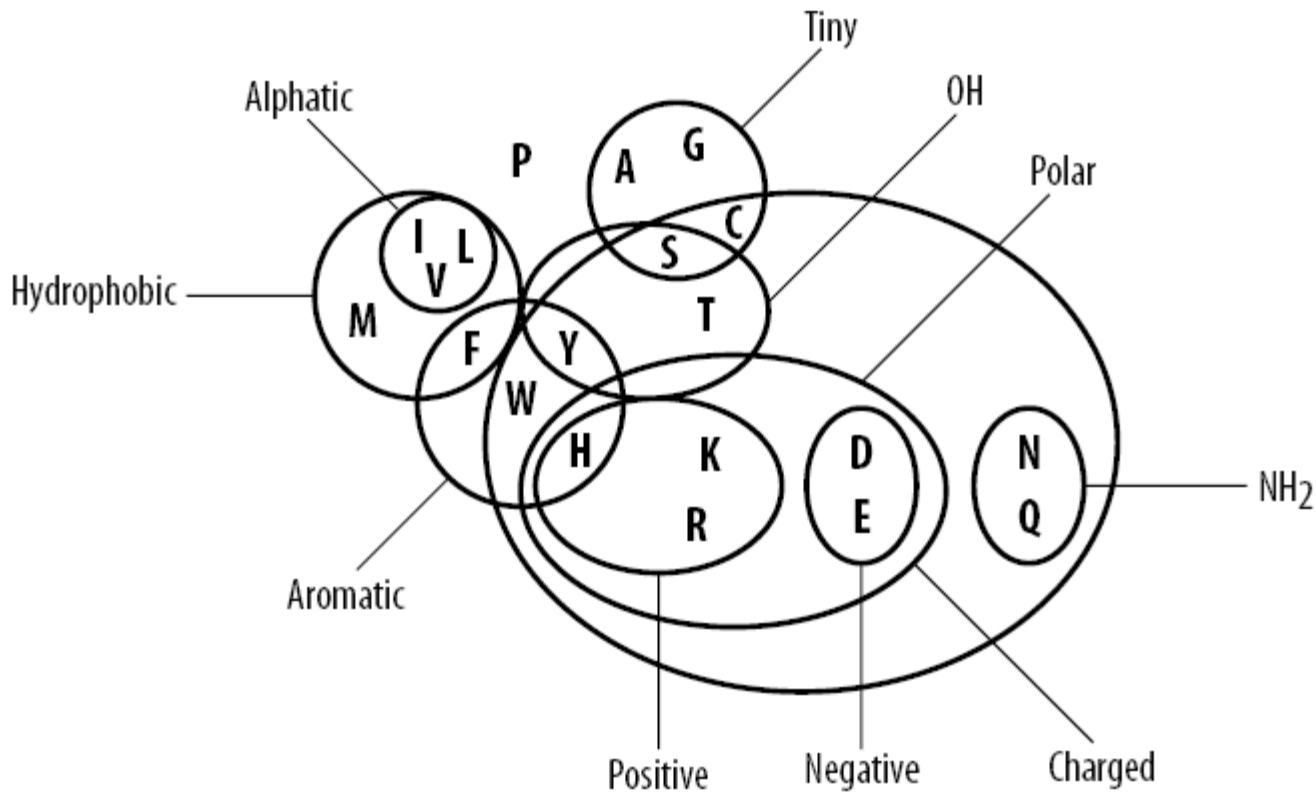
$$\textit{nats} = \log_e(n)$$

$$\log_2(n) = \log_e(n) / \log_e(2)$$



PGNPFATPLEILPEWYLYPVFQILRVLPNKLLGIACQGAIPGLMMVPFIE  
 PANPFATPLEILPEWYFYPVFQILRTVLPNKLLGVLAMAAVPVGLLTVPFIE  
 PANPMSTPAHIVPEWYFLPVYAILRSIPNKLGVAAGLVFVSLLALPFIN  
 PANPLVTPPHIKPEWYFLFAYAILRSIPNKLGVLALLFSILMLLLVPFLH  
 PANPLSTPAHIKPEWYFLFAYAILRSIPNKLGVLALLLSILVLIFIPMLQ  
 PANPLSTPPHIKPEWYFLFAYAILRSIPNKLGVLALLLSILILIFIPMLQ  
 IANPMNTPTHIKPEWYFLFAYSILRAIPNKLGVIGLVMSILIL..YIMIF  
 ESDPMMSPVHIVPEWYFLFAYAILRAIPNKVLGVVSLFASILVL..VVFVL  
 IVDTLKTSDKILPEWFFLYLFGFLKAIPDKFMGLFLMVILLFSL..FLFIL





	A	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	4	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
P	-1	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
T	0	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Y	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

PGNPFATPLEILPEWYLYPVFQILRVLPNKLLGIACQGAIPGLMMVPFIE  
 PANPFATPLEILPEWYFYPVFQILRTVPNKLLGVLAMAAPVGLLTVPFIE  
 PANPMSTPAHIVPEWYFLPVYAILRSIPNKLGGAIAIGLVFVSLALPFIN  
 PANPLVTPPHIKPEWYFLFAYAILRSIPNKLGGLALLFSILMLLLVPFLH  
 PANPLSTPAHIKPEWYFLFAYAILRSIPNKLGGLALLLSILVLIIFIPMLQ  
 PANPLSTPPHIKPEWYFLFAYAILRSIPNKLGGLALLLSILILIFIPMLQ  
 IANPMNTPTHIKPEWYFLFAYSILRAIPNKLGGVIGLVMISILIL..YIMIF  
 ESDPMMSPVHIVPEWYFLFAYAILRAIPNKVLGWVSLFASILVL..VWVL  
 IVDTLKTSDKILPEWFFLYLFGFLKAIPDKFMGLFLMVILLFSL..FLFIL

$$S_{ij} = \log(q_{ij}/p_i p_j)$$

$$p_M = 0.01$$

$$p_I = 0.1$$

$$q_{MI} = 0.002$$

$$S_{MI} = \log_2(.002/0.01*0.1) = +1 \text{ bits}$$

$$S_{MI} = \log_e(.002/0.01*0.1) = +.693 \text{ nats}$$

$$p_R = 0.1$$

$$p_L = 0.1$$

$$q_{RL} = 0.002$$

$$S_{RL} = \log_2(.002/0.1*0.1) = -2.322 \text{ bits}$$

$$S_{RL} = \log_e(.002/0.1*0.1) = -1.609 \text{ nats}$$

	A	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	4	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
P	-1	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
T	0	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Y	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

The BLOSUM MATRICES are  $\text{int}(\log_2 * 3)$



'munge' factor

The BLOSUM MATRICES are  $\text{int}(\log_2 * 3)$



'munge' factor

	A	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	4	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
P	-1	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
T	0	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Y	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

Why do this?

Recall that :

$$\text{Int}(3^* S_{ij}) = \log_2(q_{ij}/p_i p_j)$$


$\lambda$  is the number that will convert the 'munged'  $S_{ij}$  back into its 'original'  $q_{ij}$  for purposes of further calculation.

$$q_{ij} = p_i p_j e^{\lambda S_{ij}}$$

	A	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	4	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
P	-1	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
T	0	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Y	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

$$\text{Int}(3^* S_{ij}) = \log_2(q_{ij}/p_i p_j)$$

$$q_{ij} = p_i p_j e^{S_{ij}}$$



$$\sum_{i=1}^n \sum_{j=1}^i q_{ij} = 1$$

$$\lambda S_{ij} = \log_e(q_{ij}/p_i p_j)$$

$$q_{ij} = p_i p_j e^{\lambda S_{ij}}$$

$\lambda$  allows us to recover that original  $q_{ij}$  for purposes of further calculation

$$\sum_{i=1}^n \sum_{j=1}^i q_{ij} = 1 \quad q_{ij} = p_i p_j e^{\lambda s_{ij}}$$

$\lambda$  is found by successive approximation using the Identity below

$$\sum_{i=1}^n \sum_{j=1}^i q_{ij} = \sum_{i=1}^n \sum_{j=1}^i p_i p_j e^{\lambda s_{ij}} = 1$$

## Further calculations you can do once you know lambda

Expected score

Relative entropy

Target frequencies

Convert a raw score to a nat/bit score



## Expected score of the matrix

$$E = \sum_{i=1}^{20} \sum_{j=1}^i p_i p_j \lambda S_{ij}$$

Note must be negative for K-A stats to apply

What is the expected score of a +1/-3 scoring scheme?

*Table 4-1. Nucleotide scoring schemes*

Match	Mismatch	Expected score	$\lambda$ (bits)	H (bits)	% ID
+10	-10	-5	0.158	0.793	75
+1	-1	-0.5	1.58	0.791	75
+1	-2	-1.25	1.92	1.62	95
+1	-3	-2	1.98	1.89	99
+5	-4	-1.75	0.277	0.519	65

## Relative Entropy of the matrix

$$H = - \sum_{i=1}^{20} \sum_{j=1}^i q_{ij} \lambda S_{ij}$$

BLOSUM 42 < BLOSUM 62 < BLOSUM 80

‘Think of Entropy in terms of degeneracy and promiscuity’

$H \uparrow$  = far from equilibrium

$H \downarrow$  = near equilibrium, alignments contain little information

*Table 4-1. Nucleotide scoring schemes*

Match	Mismatch	Expected score	$\lambda$ (bits)	H (bits)	% ID
+10	-10	-5	0.158	0.793	75
+1	-1	-0.5	1.58	0.791	75
+1	-2	-1.25	1.92	1.62	95
+1	-3	-2	1.98	1.89	99
+5	-4	-1.75	0.277	0.519	65

# Target Frequencies

Every scoring scheme is implicitly an log-odds scoring scheme.  
Every scoring scheme has a set of target frequencies

$$\lambda S_{ij} = \log_e (q_{ij} / p_i p_j)$$

$$q_{ij} = p_i p_j e^{\lambda s_{ij}}$$

In other words, even a simple +1/-3 scoring scheme is implicitly a log odds scheme.

What data justify this scheme; what imaginary data  
Does the scheme imply?

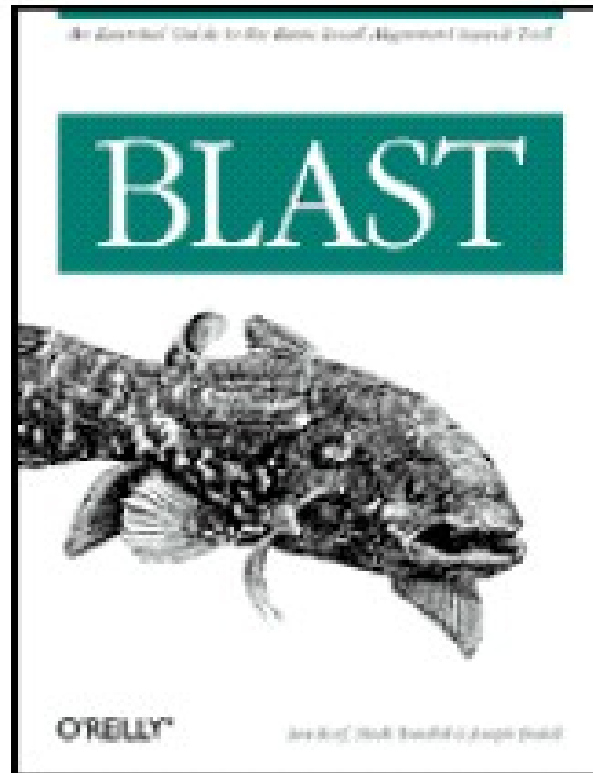
## Further calculations you can do once you know lambda

*Table 4-1. Nucleotide scoring schemes*

Match	Mismatch	Expected score	$\lambda$ (bits)	H (bits)	% ID
+10	-10	-5	0.158	0.793	75
+1	-1	-0.5	1.58	0.791	75
+1	-2	-1.25	1.92	1.62	95
+1	-3	-2	1.98	1.89	99
+5	-4	-1.75	0.277	0.519	65

Every scoring scheme is implicitly a log odds scoring matrix;  
Every log odds matrix has an implicit set of target frequencies.  
This is quite profound insight.

Commercial break!



## BLAST STATISTICS

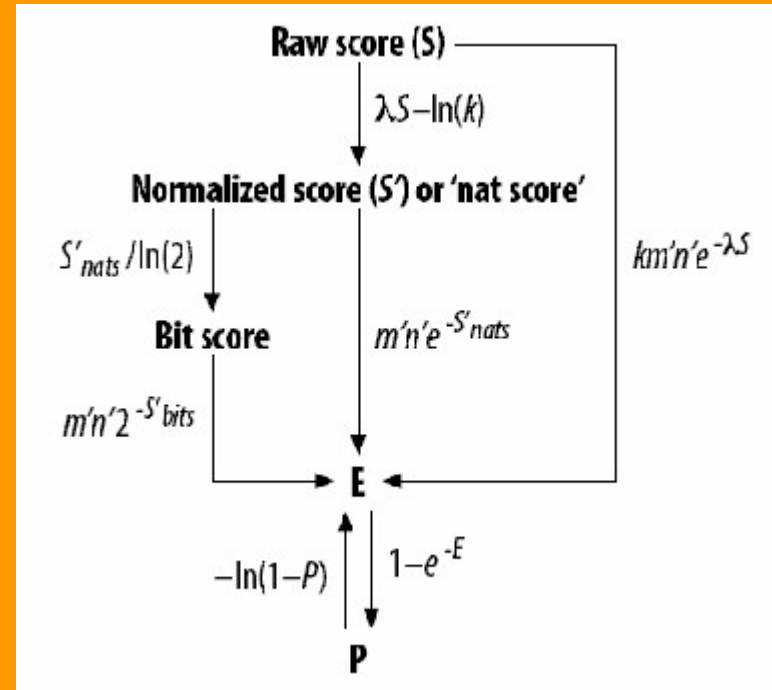
The basic operations:

Actual vs. Effective lengths,

Raw scores,

Normalized scores e.g. nat and bit scores

E & P





>gi|23098447|ref|NP\_691913.1| (NC\_004193) Length = 253

Score = 38.9 bits (89), Expect = 3e-05

Identities = 17/40 (42%), Positives = 26/40 (64%)

Frame = -1

Query: 4146

VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI 4027

VTGA G+G+AI+ A +G + V D+N GA+ V++I

Sbjct: 10

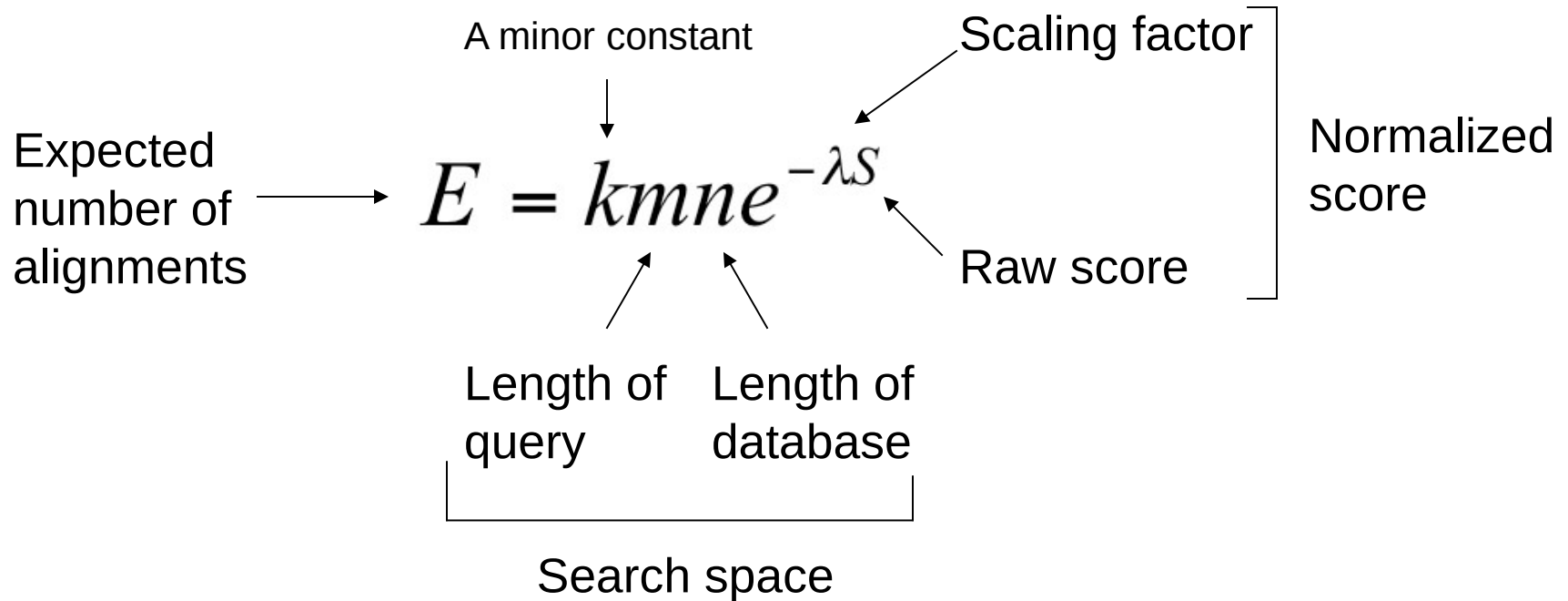
VTGAASGMGKAIATLYASEGAKVIVADLNEEGAQSVVEEI 49

*Table 7-1. The parameters and their values required for Karlin-Altschul statistical calculations*

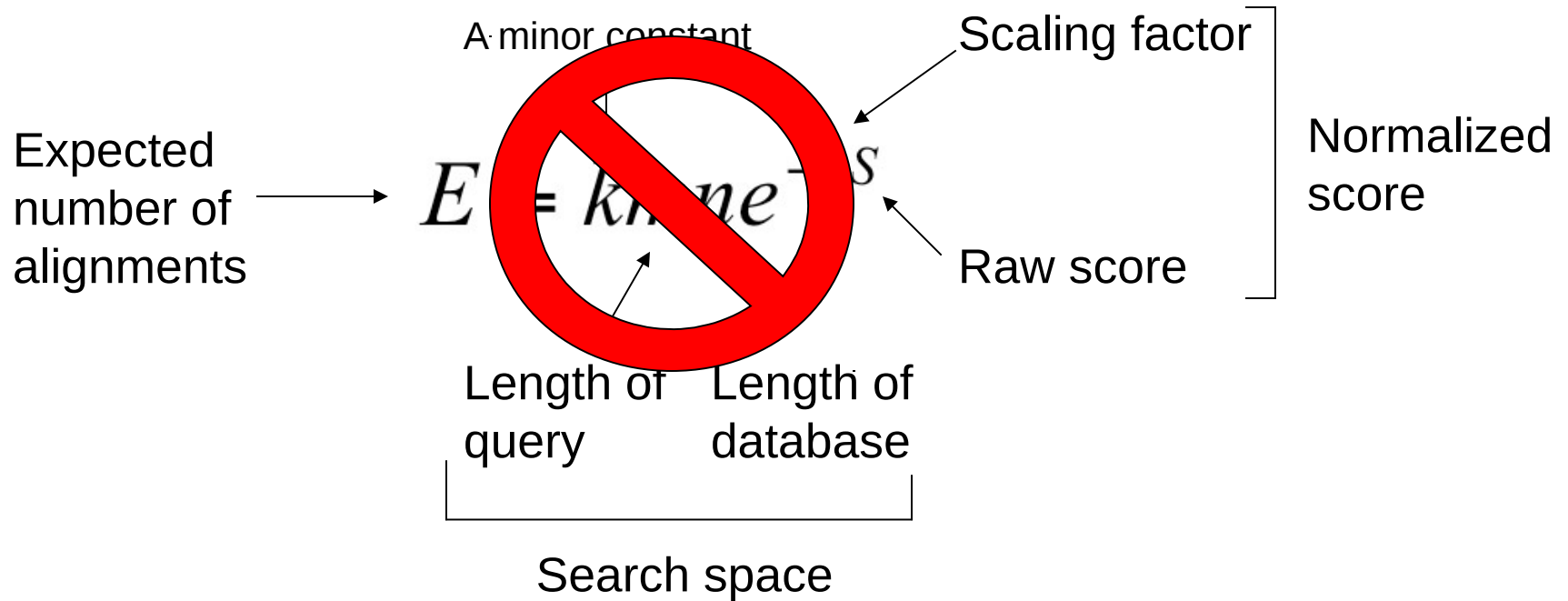
Parameter	Value
$\lambda$	0.267 nats (gapped)
k	0.0410 nats (gapped)
H	0.140 nats/aligned residue
m	321 (length of the query sequence)
n	9418064 (number of letters in the database searched)
Effective HSP length	99
Number of sequences in database	17878

---

# The Karlin-Altschul Equation



# The Karlin-Altschul Equation



# ACTUAL vs. EFFECTIVE LENGTHS

$$E = Kmne^{-\lambda S}$$

Score = 70.9 bits (172), Expect = 8e-13

Identities = 49/170 (28%), Positives = 85/170 (49%), Gaps = 6/170 (3%)

Query: 50 IAGEVAVVTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQIQDIYKVRKAYKA 109  
+AG+VA+VTGAG G+GRA LA+ G + VD N+ A++TV Q++ R+ A +  
Sbjct: 6 LAGKVALVTGAGSGIGRATCRLLRDQAKVIAVDRNLKAAQETV---QELGSESAALEV 62

Query: 110 NVTNYDDLVELNSKVVEEMGPV-TVLVNNAGVMMHRNMFNPDPADVQLMINVNLTSHFWT 168  
+V++ + ++ +++ T++VN+AG+ + D + VNL F  
Sbjct: 63 DVSSAQSVQFSVAEALKKFQQAPTIVVNSAGITRDGYLLKMPERDYDDVYGVNLKGTFLV 122

Query: 169 KLVFLPKM--KELRKGFIVTISSLAGVFPLPYSATYTTTSGALAHMRTL 216  
+ M ++L G IV +SS+ A Y TK+G ++ L  
Sbjct: 123 TQAYAKAMIEQKLENGTIVNLSSIVAKMNNVGGQANYAATKAGVISFTERL 172

$$E = Km'n'e^{-\lambda S}$$

# The 'expected HSP length'

$$E = Kmne^{-\lambda S}$$

$$1 = Kmne^{-\lambda S}$$

$$\ln(1 / Km n) = \ln(e^{-\lambda S})$$

$$-\ln(Kmn) = -\lambda S$$

$$\ln(Kmn) = \lambda S$$

$$l = \ln(Kmn) / H$$

↑  
Dependent on search space

Recall that  $H$  is nats/aligned residue, thus  $\lambda S = Hl$

$$\ln(Kmn) / H = l$$

$$l = \ln(Kmn) / H$$

ACGTGTGCGCAGTGTCTGCGTGTGCACACTATAGCC

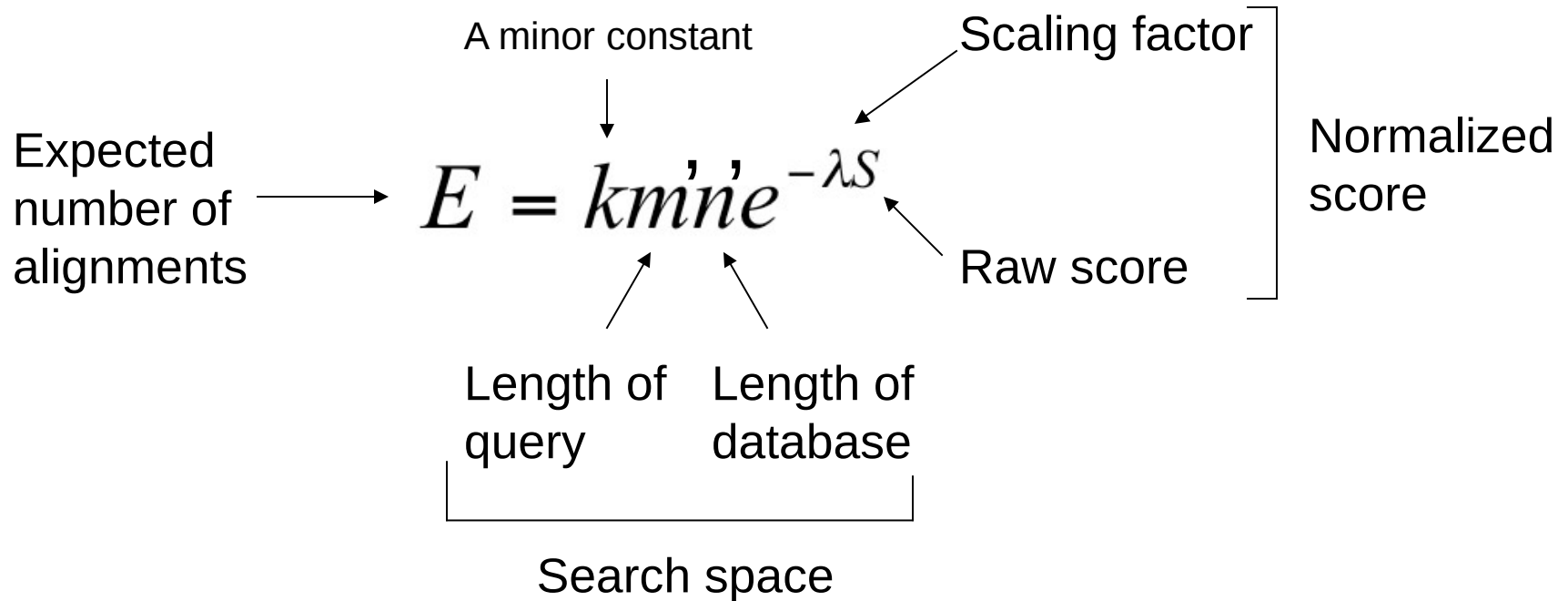
Actual length (m)

**effective length( $m'$ ) =  $m - l$**

**effective length ( $n'$ ) = total length db – num\_seqs\* $l$**

What happens if  $m' < 0$  ?

# The Karlin-Altschul Equation





# Converting a raw score to a bit score

>gi|23098447|ref|NP\_691913.1| (NC\_004193) Length = 253

Score = 38.9 bits (89), Expect = 3e-05

Identities = 17/40 (42%), Positives = 26/40 (64%)

Frame = -1

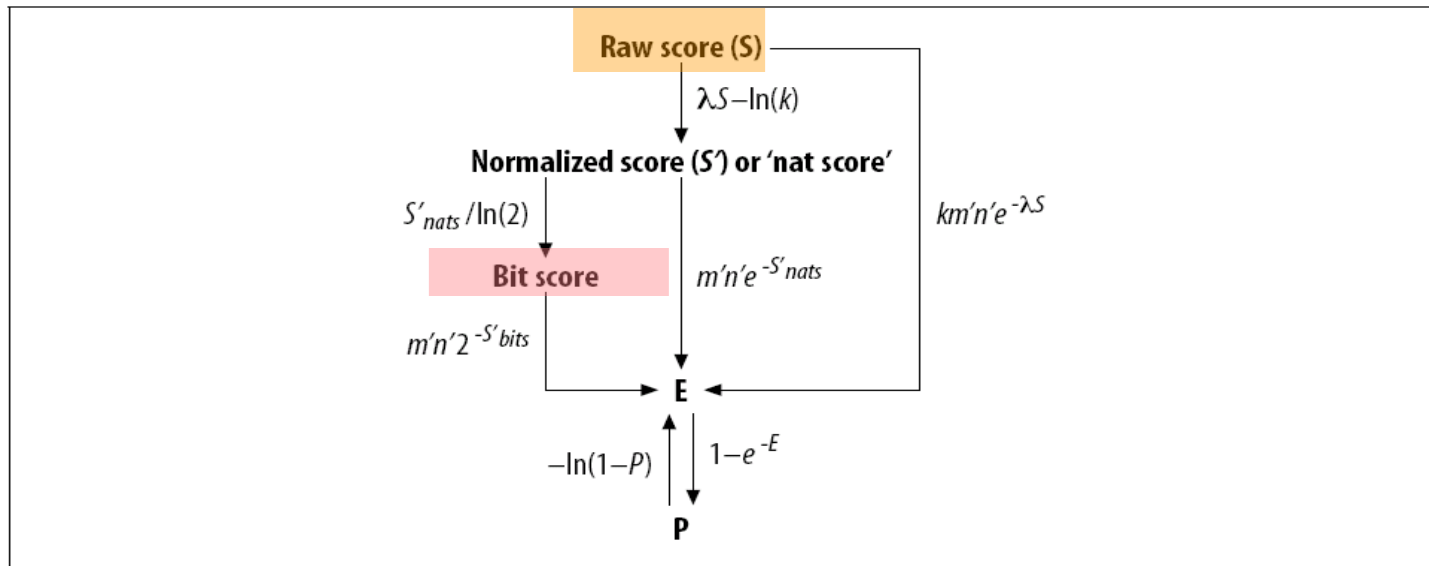
Query: 4146

VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI 4027

VTGA G+G+AI+ A +G + V D+N GA+ V++I

Sbjct: 10

VTGAASGMGKAIATLYASEGAKVIVADLNEEGAQSVVEEI 49



## Converting a raw score to a bit score

$$S'_{nats} = \lambda_{nats} S_{raw} - \ln K$$

$$S'_{bits} = \lambda_{bits} S_{raw} - \ln K$$

$$S'_{bits} = S'_{nats} / \ln(2)$$

# Converting a raw score or a bit score to an Expect

>gi|23098447|ref|NP\_691913.1| (NC\_004193) Length = 253

Score = 38.9 bits (89), Expect = 3e-05

Identities = 17/40 (42%), Positives = 26/40 (64%)

Frame = -1

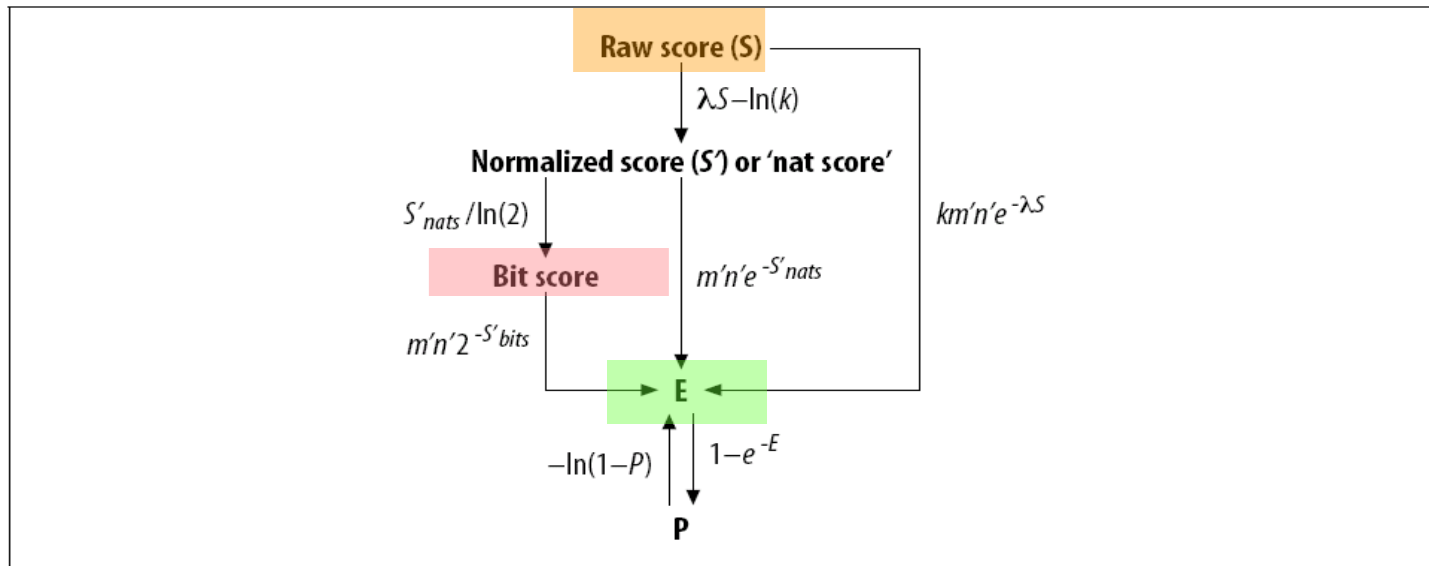
Query: 4146

VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI 4027

VTGA G+G+AI+ A +G + V D+N GA+ V++I

Sbjct: 10

VTGAASGMGKAIATLYASEGAKVIVADLNEEGAQSVVEEI 49



Converting a raw score or a bit score to an Expect

$$E = Km'n'e^{-\lambda S}$$

$$E = m'n'e^{-S'_{nats}}$$

$$E = m'n'2^{-S'_{bits}}$$

$$S'_{nats} = \lambda_{nats} S_{raw} - \ln K$$

# Converting an Expect to a WU-BLAST P value

>gi|23098447|ref|NP\_691913.1| (NC\_004193) Length = 253

Score = 38.9 bits (89), Expect = 3e-05

Identities = 17/40 (42%), Positives = 26/40 (64%)

Frame = -1

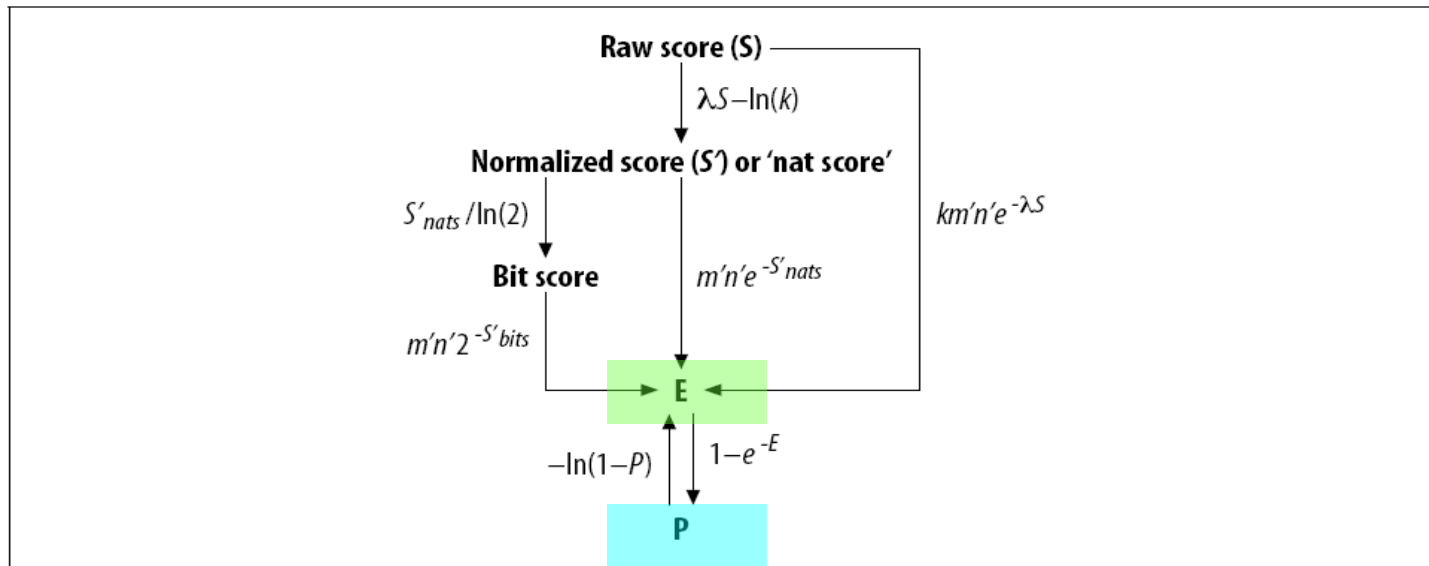
Query: 4146

VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI 4027

VTGA G+G+AI+ A +G + V D+N GA+ V++I

Sbjct: 10

VTGAASGMGKAIATLYASEGAKVIVADLNEEGAQSVVEEI 49



## Converting an Expect to a WU-BLAST P value

$$P = 1 - e^{-E}$$

$$E = -\ln(1 - P)$$

Note that  $E \approx P$  if either value  $< 1e^{-5}$

Review: where the parts of an HSP come from, and what they mean  
 >gi|23098447|ref|NP\_691913.1| (NC\_004193) Length = 253

Score = 38.9 bits (89), Expect = 3e-05

Identities = 17/40 (42%), Positives = 26/40 (64%)

Frame = -1

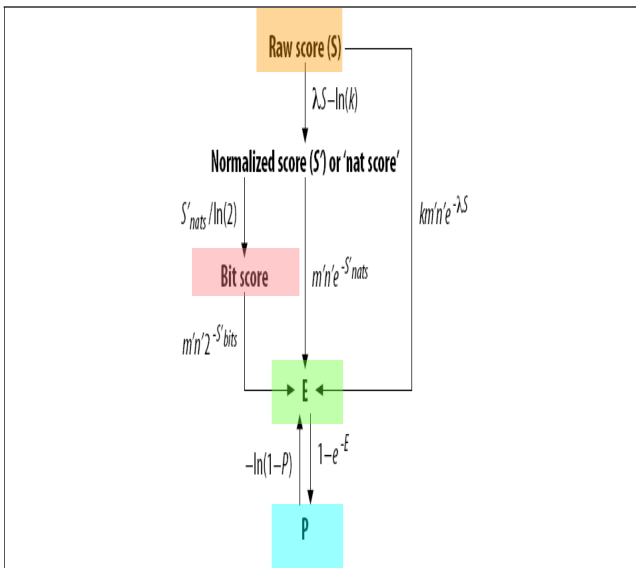
Query: 4146

VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI 4027

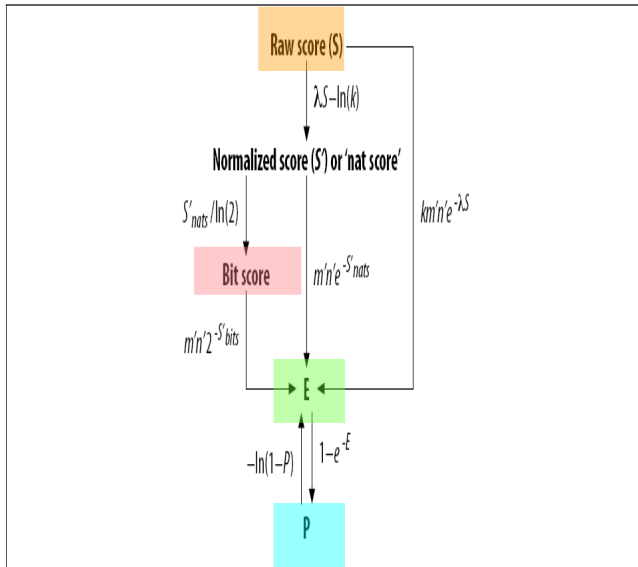
VTGA G+G+AI+ A +G + V D+N GA+ V++I

Sbjct: 10

VTGAASGMGKAIATLYASEGAKVIVADLNEEGAQSVVEEI 49

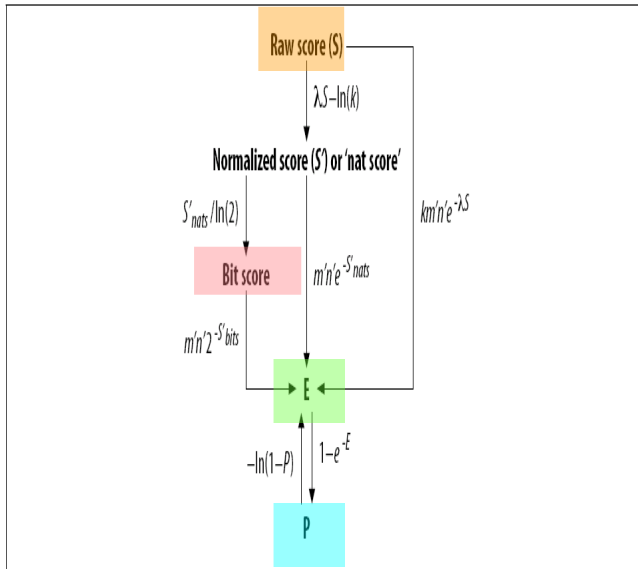


	A	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	4	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
P	-1	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
T	0	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Y	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4



Why use Karlin-Altschul statistics?  
 Why not just stop with the raw score?





## Why use Karlin-Altschul statistics? Why not just stop with the raw score?

Scores is fine, if you are only interested  
In the top score... when to stop?

How to compare scores produced using two different  
scoring schemes?

Bit score provide a common currency for scores,  
i.e. 52 bits is 52 bits is 52 bits.

Scores don't reflect database size; Expects do.

K-A stats is a bit like stoichiometry: Score ~ weight  
 $\lambda$  ~ Avogadro's' number  
 E ~ mass

# Where Did My Oligo Go?

TACATCCGGCACTTAGCCGGGCTCG

# WU-BLASTN

Notice: this program and its default parameter settings are optimized to find nearly identical sequences rapidly. To identify weak similarities encoded in nucleic acid, use BLASTX, TBLASTN or TBLASTX.

Query= oligo  
(25 letters)

Database: na\_whole-genome\_genomic\_dmel\_RELEASE3.FASTA  
7 sequences; 124,181,667 total letters.

Searching....10....20....30....40....50....60....70....80....90....100% done

	Smallest		
	Sum		
Sequences producing High-scoring Segment Pairs:	High	Probability	
	Score	P(N)	N

\*\*\* NONE \*\*\*

# NCBI-BLASTN

```
Sequences producing significant alignments: (bits) Value

2R 2R.3 assembled 23-11-2001                50  1e-06
X X release:2 length:21666217bp Assembled X chromosome arm seque... 32  0.25
3R 3R.3                                       32  0.25
U GenomicInterval:U                          30  0.99
3L 3L.3 v.3e 23351213bp BCM HGSC guide:3l-mtp-eval.08apr02        28  3.9
2L 2L release:3 length:22217931bp Assembled 2L chromosome arm se... 28  3.9

>2R 2R.3 assembled 23-11-2001
      Length = 20302755

      Score = 50.1 bits (25), Expect = 1e-06
      Identities = 25/25 (100%)
      Strand = Plus / Plus

Query: 1          tacatccggcacttagccgggctcg 25
```

Table 7-3. Selected WU-BLASTN parameters and values from the search shown in Example 7-5

Parameter	Value
$\lambda$	0.104 nats (gapped)
$k$	0.0151 nats (gapped)
$H$	0.0600 nats/aligned residue
$m$	25 (length of the query sequence)
$n$	124,181,667 (number of letters in the database)
Number of sequences in database	7

Table 7-4. Selected NCBI-BLASTN parameters and values from the search shown in Example 7-5

Parameter	Value
$\lambda$	1.37 nats (gapped)
$k$	0.711 nats (gapped)
$H$	1.31 nats/aligned residue
$m$	25 (length of the query sequence)
$n$	124,181,667 (number of letters in the database searched)
Number of sequences in database	7

*Table 4-1. Nucleotide scoring schemes*

Match	Mismatch	Expected score	$\lambda$ (bits)	H (bits)	% ID
+10	-10	-5	0.158	0.793	75
+1	-1	-0.5	1.58	0.791	75
+1	-2	-1.25	1.92	1.62	95
+1	-3	-2	1.98	1.89	99
+5	-4	-1.75	0.277	0.519	65

$$S_{E=1} = \ln(Kmn) / \lambda$$

NCBI ~ 15

WU-BLAST ~170

$$E = Kmne^{-\lambda S}$$

$$1 = Kmne^{-\lambda S}$$

$$\ln(Kmn) = \lambda S$$

$$\ln(Kmn) / \lambda = S_{raw}$$

Table 7-3. Selected WU-BLASTN parameters and values from the search shown in Example 7-5

Parameter	Value
$\lambda$	0.104 nats (gapped)
$k$	0.0151 nats (gapped)
$H$	0.0600 nats/aligned residue
$m$	25 (length of the query sequence)
$n$	124,181,667 (number of letters in the database)
Number of sequences in database	7

Table 7-4. Selected NCBI-BLASTN parameters and values from the search shown in Example 7-5

Parameter	Value
$\lambda$	1.37 nats (gapped)
$k$	0.711 nats (gapped)
$H$	1.31 nats/aligned residue
$m$	25 (length of the query sequence)
$n$	124,181,667 (number of letters in the database searched)
Number of sequences in database	7

**So how long would an oligo have to be to generate a score of 15 or 170?**

$$I = \ln(Kmn) / H$$

$$I_{ncbi} = 16$$

$$I_{wu-BLAST} = 294$$

Table 7-3. Selected WU-BLASTN parameters and values from the search shown in Example 7-5

Parameter	Value
$\lambda$	0.104 nats (gapped)
$k$	0.0151 nats (gapped)
$H$	0.0600 nats/aligned residue
$m$	25 (length of the query sequence)
$n$	124,181,667 (number of letters in the database)
Number of sequences in database	7

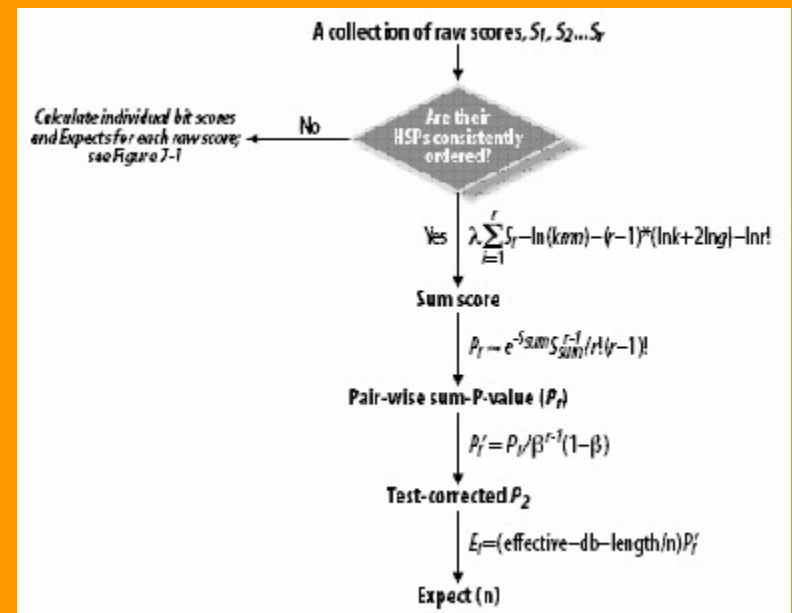
Table 7-4. Selected NCBI-BLASTN parameters and values from the search shown in Example 7-5

Parameter	Value
$\lambda$	1.37 nats (gapped)
$k$	0.711 nats (gapped)
$H$	1.31 nats/aligned residue
$m$	25 (length of the query sequence)
$n$	124,181,667 (number of letters in the database searched)
Number of sequences in database	7



So what was the unreported WU-BLASTN Expect? Let's calculate it. With the data in Table 7-3 and the previously calculated effective HSP length of 294, first calculate  $m'$  and  $n'$  using the Perl functions `effectiveLengthSeq` and `effectiveLengthDB`. Plugging  $m'$  and  $n'$  together with the WU-BLASTN  $\lambda$  and  $k$  and a raw score of 125 into the `rawScoreToExpect` function gives an Expect of 281. Recall that the NCBI-BLASTN Expect was  $1e^{-6}$ . That's a 281-million-fold difference. BLAST is clearly parameter-sensitive! Using the default parameters, you instructed NCBI-BLASTN to search for short highly conserved regions, and it found one. WU-BLASTN, on the other hand, is parameterized to look for large regions of relatively low percent identity. This would be fine for cross-species searches of poorly conserved exons but is inappropriate for finding oligos.

# Sum Statistics



Review: where the parts of an HSP come from, and what they mean  
 >gi|23098447|ref|NP\_691913.1| (NC\_004193) Length = 253

Score = 38.9 bits (89), Expect = 3e-05

Identities = 17/40 (42%), Positives = 26/40 (64%)

Frame = -1

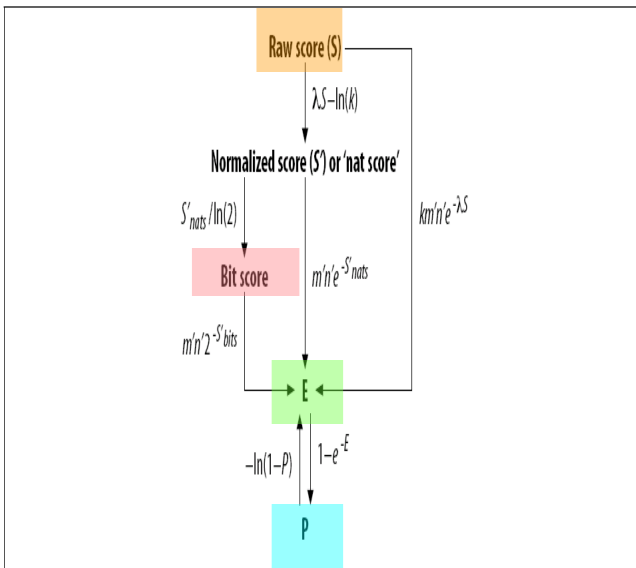
Query: 4146

VTGAGHGLGRAISLELAKKGCHIAVVDINVSGAEDTVKQI 4027

VTGA G+G+AI+ A +G + V D+N GA+ V++I

Sbjct: 10

VTGAASGMGKAIATLYASEGAKVIVADLNFEEGAQSVVEEI 49



	A	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	4	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
N	-2	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
C	0	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
E	-1	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
H	-2	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
I	-1	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
K	-1	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
M	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
P	-1	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
T	0	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Y	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

# What's different about this BLAST Hit ?

Score = 71.2 bits (173), Expect(2) = 1e-15  
Identities = 31/59 (52%), Positives = 44/59 (74%)  
Frame = -1

Query: 24837 WLDFLYYCSYVKLTITIIKYVPQALMNYRRKSTSGNSIGNILLDFTGGTLSMLQMILNA 24661  
          WL + + +++ +T +KY+PQA MN+ RKST QNSIGNILLDFTGG + LQM++ +  
Sbjct: 148 WLWLISIFNSIQVFMTCVKYIPQAKMNFTRKSTVGNSIGNILLDFTGGLANYLQMVIQS 206

Score = 38.5 bits (88), Expect(2) = 1e-15  
Identities = 15/34 (44%), Positives = 21/34 (61%)  
Frame = -3

Query: 24595 DDWVSIFGDPTKFGGLGFLFSVLFDVFFMLQHYYVY 24494  
          + W + +G+ K L L S+ FD+ FM QHYV Y  
Sbjct: 210 NSWKNFYGNMGKTL LSLISIFFDILFMFQHYVLY 243

# What's different about this BLAST Hit ?

Score = 71.2 bits (173), Expect(2) = 1e-15  
Identities = 31/59 (52%), Positives = 44/59 (74%)  
Frame = -1

Query: 24837 WLDFLYYCSYVKLTITIIKYVPQALMNYRRKSTSGWSIGNILLDFTGGTLSMLQMILNA 24661  
          WL + + +++ +T +KY+PQA MN+ RKST QWSIGNILLDFTGG + LQM++ +  
Sbjct: 148 WLWLISIFNSIQVFMTCVKYIPQAKMNFTRKSTVGWSIGNILLDFTGGLANYLQMVIQS 206

Score = 38.5 bits (88), Expect(2) = 1e-15  
Identities = 15/34 (44%), Positives = 21/34 (61%)  
Frame = -3

Query: 24595 DDWVSIFGDPTKFGGLGFLFSVLFDVFFMLQHYVVFY 24494  
          + W + +G+ K L L S+ FD+ FM QHYV Y  
Sbjct: 210 NSWKNFYGNMGKTL LSLISIFFDILFMFQHYVLY 243

# What's different about this BLAST Hit ?

Score = 71.2 bits (173), Expect(2) = 1e-15  
Identities = 31/59 (52%), Positives = 44/59 (74%)  
Frame = -1

$$E = kmne^{-\lambda S}$$

Query: 24837 WLDFLYYCSYVKLTITIIKYVPQALMNYRRKSTSGNSIGNILLDFTGGTLSMLQMILNA 24661  
          WL + + +++ +T +KY+PQA MN+ RKST QNSIGNILLDFTGG + LQM++ +  
Sbjct: 148 WLWLISIFNSIQVFMTCVKYIPQAKMNFTRKSTVGNSIGNILLDFTGGLANYLQMVIQS 206

Score = 38.5 bits (88), Expect(2) = 1e-15  
Identities = 15/34 (44%), Positives = 21/34 (61%)  
Frame = -3

$$P_r = e^{-S_{sum}} S_{sum}^{r-1} / r!(r-1)!$$

Query: 24595 DDWVSIFGDPTKFGGLGFLFSVLFDVFFMLQHYVVFY 24494  
          + W + +G+ K L L S+ FD+ FM QHYV Y  
Sbjct: 210 NSWKNFYGNMGKTL LSLISIFFDILFMFQHYVLY 243

## Sum Statistics

# BLAST uses two distinct methods to calculate an Expect

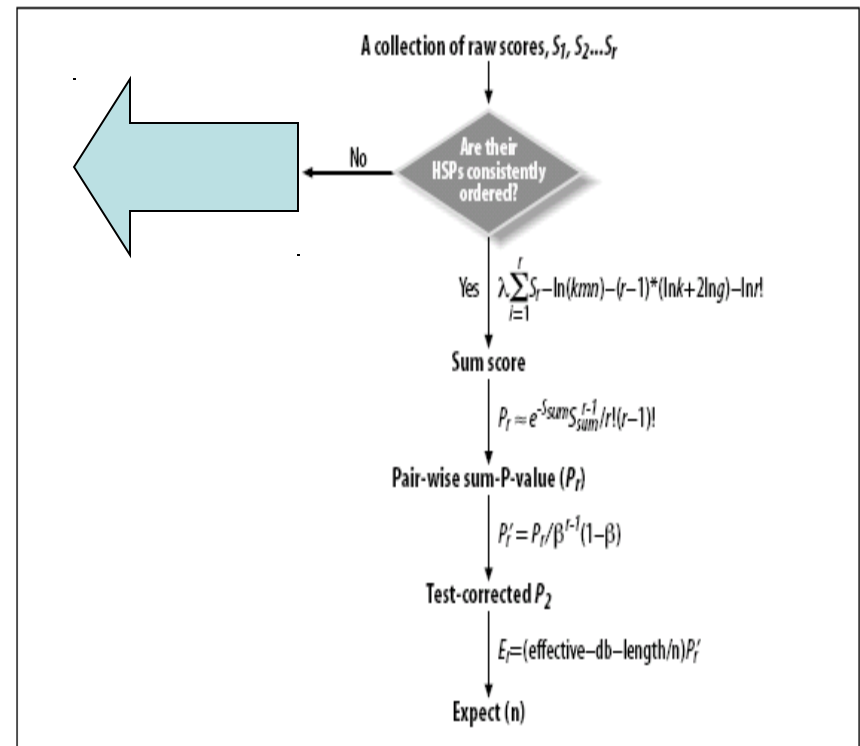
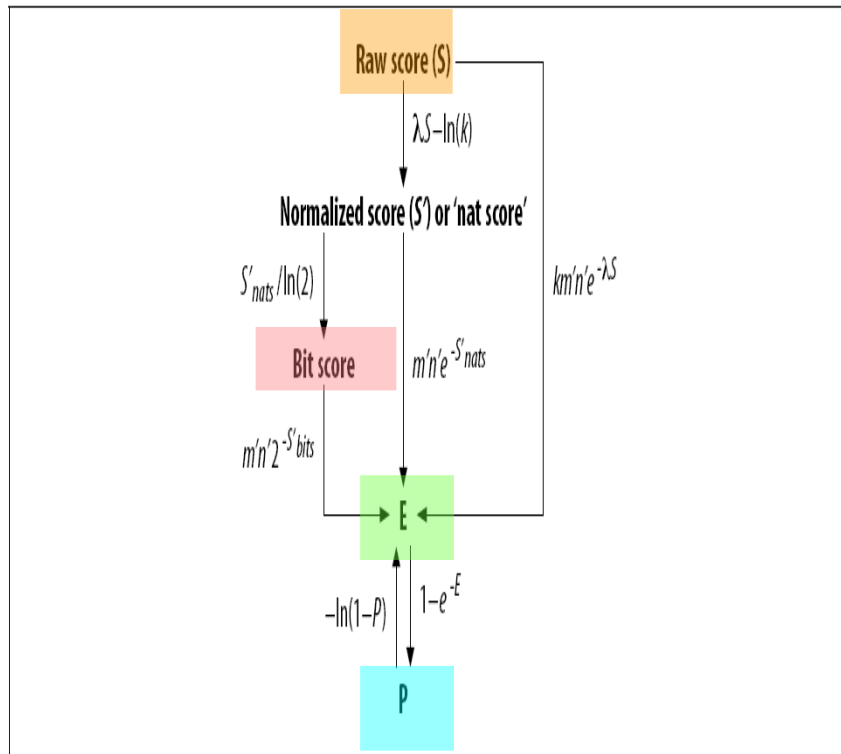
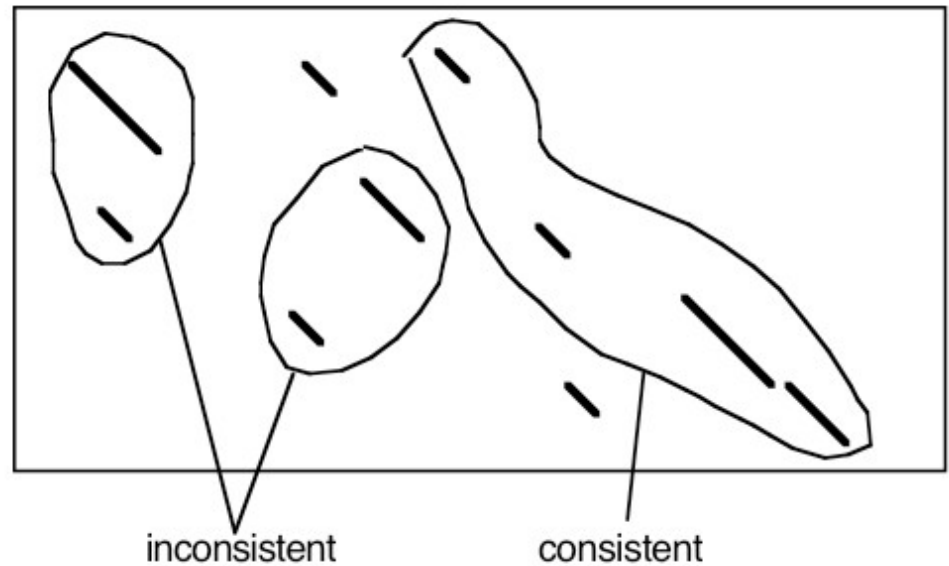


Figure 7-2. The essential calculations involved in deriving the aggregate Expect for a group of HSPs

# Sum Statistics

Sum statistics increases the significance (decreases the E-value) for groups of consistent alignments.





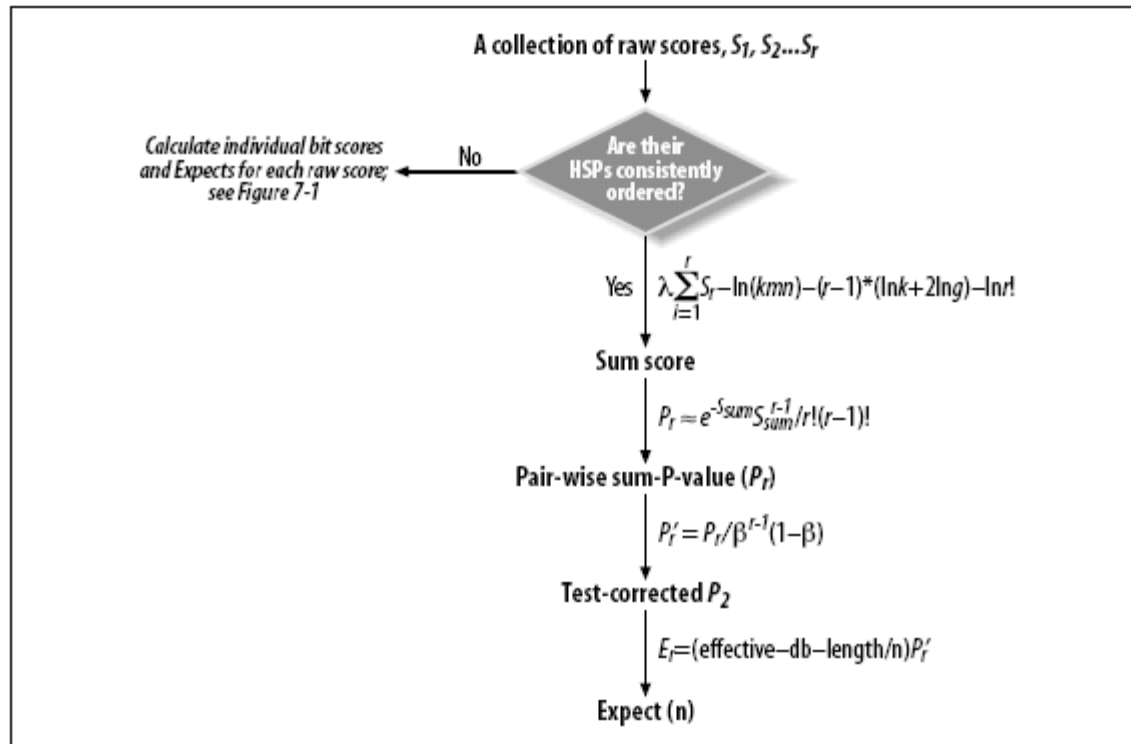


Figure 7-2. The essential calculations involved in deriving the aggregate Expect for a group of HSPs

Table 7-2. Parameters and their values required for calculating the aggregate statistical significance of HSPs

Parameter	Value
$\lambda$	0.267 nats (gapped)
$k$	0.0410 nats (gapped)
H	0.140 nats/aligned residue
$m$	40206 (length of the query sequence)
$n$	270 (length of the subject sequence)
Gap decay constant	0.1
Effective_db_length	78368169
Effective HSP length	144

## Sum Stats are 'pair-wise' in their focus

In other words, for the purposes of sum stat calculations  
 $n$  = the length of the subjct sequence; not the length on the db!

## Actual Vs. effective lengths for BLASTX etc

```
sub effectiveLengthOfBlastxQuery {  
    my $m      = shift; # actual nucleotide length of the query  
    my $exp    = shift; # expected HSP length.  
    #  $m' = m/3 - \text{expected\_HSP\_length}$   
    return $m/3 - $exp;  
}
```

# Sum Statistics are based on a 'sum score'; rather than the raw score of the alignments

Score = 71.2 bits (173), Expect(2) = 1e-15  
Identities = 31/59 (52%), Positives = 44/59 (74%)  
Frame = -1

The sum score is *not* reported by BLAST!

```
Query: 24837 WLDFLYYCSYVKLTITIIKYVPQALMNYRRKSTSGNSIGNILLDFTGGTLSMLQMILNA 24661
      WL + + +++ +T +KY+PQA MN+ RKST QNSIGNILLDFTGG + LQM++ +
Sbjct: 148 WLWLISIFNSIQVFMTCVKYIPQAKMNFTRKSTVGNSIGNILLDFTGGLANYLQMVIQS 206
```

Score = 38.5 bits (88), Expect(2) = 1e-15  
Identities = 15/34 (44%), Positives = 21/34 (61%)  
Frame = -3

```
Query: 24595 DDWWSIFGDPTKFGGLGFLFSVLFDVFFMLQHYYVY 24494
      + W + +G+ K L L S+ FD+ FM QHYV Y
Sbjct: 210 NSWKNFYGNMGKTL LSLISIFFDILFMFQHYVLY 243
```

## Calculating a Sum score

$$S'_{nats} = \lambda S - \ln k$$

Equation 4-14.

$$S'_{sum} = \lambda \sum_{i=1}^r S_r$$

Equation 4-15.

$$S'_{sum} = \lambda \sum_{i=1}^r S_r - \ln(kmn) - (r-1) \cdot (\ln(k) + 2 \ln(g)) - \log(r!)$$

Equation 4-18.

$$S'_{sum} = \lambda \sum_{i=1}^r S_r - r \ln(kmn)$$

Equation 4-16.

$$S'_{sum} = \lambda \sum_{i=1}^r S_r - r \ln(kmn) + \ln(r!)$$

Equation 4-17.

## Converting a Sum score to an Expect(n)

$$P_r \approx e^{-S_{sum}} S_{sum}^{r-1} / r!(r-1)!$$

*Equation 4-19.*

$$P'_r = P_r / \beta^{r-1} (1 - \beta)$$

*Equation 4-20.*

$$\text{Expect}(r) = (\text{effective\_db\_length}/n) P'_r$$

*Equation 4-21.*

## Sum Statistics take home: **buyer beware**

Score = 71.2 bits (173), Expect(2) =  $1e-15$       Expect =  $3.7e^{-10}$   
Identities = 31/59 (52%), Positives = 44/59 (74%)  
Frame = -1

Query: 24837 WLDFLYYCSYVKLITITIIKYVPQALMNYRRKSTSGWSIGNILLDFTGGTLSMLQMILNA 24661  
          WL + + +++ +T +KY+PQA MN+ RKST GWSIGNILLDFTGG + LQM++ +  
Sbjct: 148 WLWLISIFNSIQVFMTCVKYIPQAKMNFTRKSTVGWSIGNILLDFTGGGLANYLQMVIQS 206

Score = 38.5 bits (88), Expect(2) =  $1e-15$       Expect =  $2.6e^{-8}$   
Identities = 15/34 (44%), Positives = 21/34 (61%)  
Frame = -3

Query: 24595 DDWVSIFGDPTKFGGLGFLSFLDVFVFMQLQHYVVFY 24494  
          + W + +G+ K L L S+ FD+ FM QHYV Y  
Sbjct: 210 NSWKNFYGNMGKTL LSLISIFFDILFMFQHYVLY 243

**Best to calculate the 'Expect(1)' for each hit.**

**Which –hopefully– you now know how to do!**

Enough BLAST for one day!

